

Master's Thesis

Symbolic Execution for Compiler Optimizations on the GraalVM

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GraalVM¹ is a Java virtual machine that supports the execution of dynamic languages such as JavaScript, Python, Ruby etc. as well as static languages like LLVM bitcode. It allows the programmer to express guest-language semantics in Java AST implementations via the Truffle framework. The Graal compiler—GraalVM's core component—is a dynamic JIT compiler that generates highly optimized code using speculative optimizations and the concepts of deoptimization [1].

To do so, the GraalVM compiler transforms a program's bytecode to an intermediate representation—the Graal IR—organized as a graph. This representation simplifies subsequent optimizations such as Constant Folding, Partial Escape Analysis and Method Inlining. Still, those optimizations are mostly limited to the information gained from profiling as well as static analysis methods [2, 3].

In order to further optimize the IR, the concept of symbolic execution should be applied to guide compiler optimizations. This thesis should combine symbolic execution with compiler optimizations in GraalVM by building a Graal IR model that is mapped to logic formulas for constraint solvers. This model can then be used by the compiler to explore different program paths and check for optimization potentials such as algebraic reductions, redundant operations or infeasible branches and modify the graph accordingly.

Symbolic execution is a prominent technique in software verification and analysis to identify program flaws and generate test cases. The general idea of symbolic execution is to simulate the execution of a program and to form path conditions that describe the possible paths through the control flow [4]. Inputs are treated as so-called "symbolic" values which represent unknown variables that are used for building formulas to model the semantics of the program. Modifications and constraints on symbolic variables are stored in a symbolic memory. Typically, these structures are then passed on to a SAT / SMT solver to determine whether a path (under the given conditions and with the given memory contents) is feasible and—if so—to generate a satisfiable assignment (i.e. input values for a method that lead to the target result) [5, 6, 7].

While logic systems are already used to formally verify certain compiler techniques [8, 9], the application of symbolic execution in the context of compiler optimizations is rare: There are publications on partial evaluators

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¹ https://www.graalvm.org/



for JavaScript—namely Prepack²—and other approaches that propose the use of symbolic execution to improve C preprocessors [10, 11, 12]. However, to our knowledge there is no approach utilizing symbolic execution to guide optimizations in dynamic compilers.

The goals of this thesis are as follows:

- 1. Implementation of a framework in order to support compiler optimizations via symbolic execution of the low-tier Graal IR
- 2. Implementation of algebraic simplifications on the graph using symbolic execution
- Evaluation of the applied optimizations with respect to compilation overhead and runtime benefits on the SpecJVM2008³, Scalabench⁴, DaCapo⁵ and Renaissance⁶ benchmarks

Non-goals are:

- 1. Implementation of symbolic simplifications for common low-tier Graal IR nodes
- 2. Implementation of a (low-tier) memory model to enable reasoning about memory operations which is required for memory based optimizations
- Development of an API and test suite to simplify the creation of custom optimizations

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² https://prepack.io/

³ https://www.spec.org/jvm2008/

⁴ http://www.scalabench.org/

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